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APPLICATION NUMBER

1421 PRINCE STREET

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/081,718

PARKHURST & WENDEL, L.L.P

ALEXANDRIA, VA 22314-2805

02/25/2002

APR 2 4 2002

Naoya Tokunaga

HYAE: 133

CONFIRMATION NO. 9482

FORMALITIES LETTER

OC000000007661594

Date Mailed: 03/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$168.
 - \$168 for 2 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1038.

25/2002 YPQLITE1 00000049 10081718 A copy of this notice MUST be returned with the reply. **Customer Service Center** Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Naoya TOKUNAGA et al.

Serial No.: 10/081,718

Filed: February 25, 2002

For: WAVEFORM EQUALIZATION APPARATUS

SUBMISSION OF ORIGINAL EXECUTED DECLARATION

Commissioner for Patents Box Missing Parts Washington, D. C. 20231

Sir:

The above-identified application was duly filed on February 25, 2002 without an executed Declaration. Accordingly, submitted herewith is a fully executed Declaration and Assignment of the inventors. Attached is our check for \$1,078.00, which includes \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e), \$40.00 to cover the fee for recordation of the Assignment document, and the remainder to cover the full filing fees.

Entry of these documents should complete all of the filing formalities and fully satisfy the Notice of Missing Parts mailed

PLEASE ACCEPT THIS AS AUTHORIZATION TO DEBIT OR CREDIT FEES TO DEP. ACCT. 16-0331 PARKHURST & WENDEL March 18, 2002. Accordingly examination and allowance of the application in due course are respectfully solicited.

All correspondence should be sent to applicants' representative at the address indicated below.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

Respectfully submitted,

Roger W. Parkhurst

Registration No. 25,177

PARKHURST & WENDEL, L.L.P.

Date

RWP/jmz

Attorney Docket No. HYAE:133

24 April 2002

PARKHURST & WENDEL, L.L.P. 1421 Prince Street, Suite 210 Alexandria, Virginia 22314-2805 Telephone: (703) 739-0220

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